



1636

(18805-81106)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Date: February 24, 2003

In re application of: Hickman *et al.*
Serial No. 09/880,138
Filed: June 12, 2001
For: BIOSENSOR FOR USE IN TOXICITY ASSESSMENT AND
PHARMACOLOGICAL SCREENING
Examiner: Unassigned
Unit: 1636

#13

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D. C. 20231

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| I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO THE ASSISTANT COMMISSIONER FOR PATENTS | |
| WASHINGTON, D.C. 20231, ON | February 24, 2003 |
| DATE | |
| BY <i>Christina Tong</i> | CHRISTINA TONG |
| SIGNATURE | February 24, 2003 |
| | (DATE OF) |

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Dear Sir or Madam:

MAR 06 2003

Applicants' attorney **TECH CENTER 1000/2900** has submitted the full text of literature of which he is aware, that he believes may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. The filing of this Supplemental Information Disclosure Statement is before a first office action on the merits.

In addition, in view of Applicants' duty of candor to the USPTO, Applicants' disclose the following facts:

In October 1995, Mr. James J. Hickman delivered a seminar in Washington D. C. for the National Symposium of the American Vacuum Society entitled "Monolayers as Templates for Biocompatible Interface Design". Applicant is not aware of the existence of written material describing or otherwise pertaining to this oral presentation.

Also, on February 5-7, 1996, Mr. James J. Hickman gave two lectures in Los Angeles, California for Commercial Applications for Organo Electronic Materials, entitled "Biological Interface Design for Neuronal Networks" and "Cell-Based Sensors". Applicant is not aware of the existence of written material describing or otherwise pertaining to these oral presentations.

The filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists (37 CFR 1.97(h). Further, the filing of this Supplemental Information Disclosure Statement shall not be construed as an admission against interest in any manner.

It is believed that this Supplemental Information Disclosure Statement is being filed before the mailing of a first office action on the merits. However, the commissioner is hereby authorized to charge any fee required or credit overpayments under 37 C.F.R. §1.16 or C.F.R. 1.17 to Deposit Account No. 50-1597.

Respectfully submitted,

By: 

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| FORM PTO-1449 (Modified) | ATTY DOCKET NO. 18805-81106 | SERIAL NO. 09/880,138 |
| List of Patents and Publications for Applicants Supplemental Information Disclosure Statement | APPLICANT: Hickman et al. | |
| | FILING DATE: June 12, 2001 | GROUP ART UNIT 1636 |



U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB CLASS | TRANSLATION | |
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OTHER ART (Including Author, title, Date, Pertinent Pages, Etc.)

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| | Brash, J.L., <i>Role of Plasma Protein Adsorption in the Response of Blood to Foreign Surfaces</i> pp. 3-24 <i>Blood Compatible Material and Devices</i> (Technomic Publishing Co., Inc: Lancaster, PA) (1986). |
| | Nagai, Y. et al., <i>Cell Biological significance of gangliosides in neuronal differentiation and development: Critique and proposals</i> pp. 329-350 In: <i>New Trends in Ganglioside Research</i> , Ledeen, R.W. et al. (eds.) (Liviana Press: Padova) (1988). |
| | Pusineri, C. and Cazenave, J.P., <i>Adsorption at interfaces</i> pp. 45-59 In: <i>Blood-Surface Interactions</i> , Cazenave, J.P. et al. (eds.) (Elsevier, Amsterdam, New York, Oxford) (1986). |
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| EXAMINER | DATE CONSIDERED; |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |